# 4507/6507 Software and Hardware Verification LTL Model Checking 

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These slides contain material from Denisa Diaconescu, Georg Struth and Traian Florin Șerbănuță

## Overview

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The model checking algorithm in three steps

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Generalized Nondeterministic Büchi Automata (GNBA)

- Translation of LTL formulas to automata
- Product automata
- Emptiness decision problem


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We will see

- not only what needs to be done
- but also why it works - and we will give proofs for that

Introduction

## Preliminaries: Atom-Set Traces

Recall: For a set of states $S$, a labeling function $L: S \rightarrow \mathcal{P}$ (Atoms), an infinite sequence of states $\pi=s_{0} s_{1} s_{2} \ldots$ and a formula $\varphi$, we know what $\pi \models_{L} \varphi(\pi$ satisfies $\varphi$ w.r.t. labeling $L$ ) means.

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- $S, L$ and $\pi=s_{0} S_{1} s_{2} \ldots$ infinite sequence of states in $S$
- $S^{\prime}, L^{\prime}$ and $\pi^{\prime}=s_{0}^{\prime} s_{1}^{\prime} s_{2}^{\prime} \ldots$ infinite sequence of states in $S^{\prime}$
then, assuming $L\left(s_{i}\right)=L^{\prime}\left(s_{i}^{\prime}\right)$ for all $i \geq 0$, we have

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In other words, it is only the infinite sequence of atom sets $L\left(s_{0}\right) L\left(s_{1}\right) L\left(s_{2}\right) \ldots$ that matters - we call this the atom-set trace of $\pi=s_{0} s_{1} s_{2} \ldots$ through $L$.

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## The LTL Model Checking Problem

Let $\mathcal{M}=(S, \rightarrow, L)$ be an LTS, $s_{0} \in S$, and $\varphi$ an LTL formula.
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In-class exercise. Please discuss the flaws of the following argument: It is obvious that the LTL model checking problem has an algorithmic solution, because both the LTS and the formula are finite objects, so whether the LTS satisfies a formula in a given state should be decidable by simply applying the definition of satisfaction and doing an exhaustive check through the finite set of states.

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- The automaton has a notion of acceped word, where a word will be an infinite sequence $A_{0} A_{1} A_{2} \ldots$ of atom sets: for all $i \geq 0, A_{i} \in \mathcal{P}$ (Atoms). The set of its accepted words forms its accepted language.


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- It has the property that for any formula $\psi$, set of states $S$, labeling function $L: S \rightarrow \mathcal{P}$ (Atoms) and infinite sequences of states $\pi$, $\pi \models_{L} \psi$ iff the atom-set trace of $\pi$ through $L$ is accepted by $\mathcal{A} u t_{\psi}$ ( $\mathcal{A} u t_{\psi}$ accepts precisely the atom-set traces of sequences that satisfy $\psi$ )


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- Thus, $\mathcal{A u t}_{\rightarrow \varphi}$ accepts precisely the atom-set traces of sequences that do not satisfy $\varphi$.


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This results in a product automaton $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{T \varphi}$ whose accepted words are those coming from the paths $\pi$ of $\mathcal{M}$ that start in $s_{0}$ and do not satisfy $\varphi$ :

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\pi \in \operatorname{Paths}_{s_{0}}(\mathcal{M}) \text { and } \pi \not \vDash_{L} \varphi
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iff
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- If the accepted language is empty, it means that $\pi \models_{L} \varphi$ for all $\pi \in \operatorname{Paths}_{s_{0}}(\mathcal{M})$. So we conclude Yes, it is the case that $\mathcal{M}, s_{0} \models \varphi$.


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- If the accepted language is non-empty, we obtain $\pi \in \operatorname{Paths}_{s_{0}}(\mathcal{M})$ such that $\pi \not \vDash_{L} \varphi$. So we conclude No, it is not the case that $\mathcal{M}, s_{0} \models \varphi$.


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- If the accepted language is non-empty, we obtain $\pi \in \operatorname{Paths}_{s_{0}}(\mathcal{M})$ such that $\pi \not \vDash_{L} \varphi$. So we conclude No, it is not the case that $\mathcal{M}, s_{0} \models \varphi$.


## The Model Checking Algorithm - Remaining Details

Step 1. Construct an automaton $A_{\psi}$ for any formula $\psi$ such that, for any set of states $S$, labeling $L: S \rightarrow \mathcal{P}$ (Atoms) and infinite sequences of states $\pi$ : $\pi \models_{L} \psi$ iff the atom-set trace of $\pi$ through $L$ is accepted by $\mathcal{A} u t_{\psi}$

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What type of automaton do we construct? How do we construct it? Why does it satisfy the required property?

Step 2. From $\mathcal{M}=(S, \rightarrow, L)$ and $\mathcal{A} u t_{\neg \varphi}$, build $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}$ such that, for all $\pi: \pi \in \operatorname{Paths}_{s_{0}}(\mathcal{M})$ and $\pi \not \models_{L} \varphi$ iff the atom-set trace of $\pi$ through $L$ is accepted by $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}$.

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What is exactly the product automaton? Why does it satisfy the required property?

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What is exactly the product automaton? Why does it satisfy the required property?

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If so, conclude $\mathcal{M}, s \models \varphi$; otherwise conclude $\mathcal{M}$, $s \not \vDash \varphi$.

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If so, conclude $\mathcal{M}, s \models \varphi$; otherwise conclude $\mathcal{M}$, $s \not \vDash \varphi$.
How is the check done? Why are the conclusions correct?

## GNBAs

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Given a word $w=x_{0} x_{1} x_{2} \ldots$, a run for $w$ is an infinite sequence of states $q_{0} q_{1} q_{2} \ldots$ with $q_{0} \in I$ that transit via its letters: $q_{i} \xrightarrow{x_{i}} q_{i+1}$ for each $i \geq 0$.

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Given a word $w=x_{0} x_{1} x_{2} \ldots$, a run for $w$ is an infinite sequence of states $q_{0} q_{1} q_{2} \ldots$ with $q_{0} \in I$ that transit via its letters: $q_{i} \xrightarrow{x_{i}} q_{i+1}$ for each $i \geq 0$.

A run $q_{0} q_{1} q_{2} \ldots$ for $w$ is called accepting if it visits infinitely often each of the accepting sets: for all $F \in \mathcal{F}$, the set $\left\{i \geq 0 \mid q_{i} \in F\right\}$ is infinite.

## Step 1: What Type of Automaton Do We Use?

Think: nondeteministic finite automata, but used for infinite words
A Generalized Nondeterministic Büchi Automaton (GNBA for short) is a 5 -tuple $\mathcal{A} u t=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ where:

- $\Sigma$ is a finite set of letters, called the alphabet
- $Q$ is a finite set of states
- $I \subseteq Q$ is a set of initial states
- $\rightarrow \subseteq Q \times \Sigma \times Q$ is a transition relation (write $q \xrightarrow{a} q^{\prime}$ for $\left(q, a, q^{\prime}\right) \in \rightarrow$ )
- $\mathcal{F} \subseteq \mathcal{P}(Q)$; the elements of $\mathcal{F}$ are sets of states called accepting sets

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A word $w$ is said to be accepted by $\mathcal{A} u t$ if it has an accepting run in $\mathcal{A} u t$.

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A word is an infinite sequence of letters $w=x_{0} x_{1} x_{2} \ldots$ with each $x_{i} \in \Sigma$.
Given a word $w=x_{0} x_{1} x_{2} \ldots$, a run for $w$ is an infinite sequence of states $q_{0} q_{1} q_{2} \ldots$ with $q_{0} \in I$ that transit via its letters: $q_{i} \xrightarrow{x_{i}} q_{i+1}$ for each $i \geq 0$.

A run $q_{0} q_{1} q_{2} \ldots$ for $w$ is called accepting if it visits infinitely often each of the accepting sets: for all $F \in \mathcal{F}$, the set $\left\{i \geq 0 \mid q_{i} \in F\right\}$ is infinite.

A word $w$ is said to be accepted by $\mathcal{A} u t$ if it has an accepting run in $\mathcal{A} u t$. The language accepted by $\mathcal{A} u t$ is the set of words accepted by $\mathcal{A} u t$.

## GNBA - Example

$$
\begin{aligned}
\Sigma=\{x, y\} & Q=\left\{q_{0}, q_{1}, q_{2}\right\} \\
I=\left\{q_{0}\right\} & \mathcal{F}=\left\{\left\{q_{2}\right\}\right\} \\
\rightarrow=\left\{\left(q_{0}, x, q_{1}\right),\right. & \left(q_{1}, x, q_{1}\right),\left(q_{1}, y, q_{2}\right), \\
& \left(q_{2}, y, q_{1}\right), \\
& \left.\left(q_{2}, y, q_{2}\right)\right\}
\end{aligned}
$$

## GNBA - Example

$$
\text { Aut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \quad \begin{array}{ll}
\Sigma=\{x, y\} & Q=\left\{q_{0}, q_{1}, q_{2}\right\} \\
I=\left\{q_{0}\right\} & \mathcal{F}=\left\{\left\{q_{2}\right\}\right\} \\
\rightarrow=\left\{\left(q_{0}, x, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, y, q_{2}\right),\right.
\end{array}
$$

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\text { Aut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \begin{array}{lll} 
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& I=\left\{q_{0}\right\} & \mathcal{F}=\left\{\left\{q_{2}\right\}\right\} \\
& \rightarrow=\left\{\left(q_{0}, x, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, y, q_{2}\right),\right. \\
&
\end{array}
$$

Note: Here, a run is accepting iff $q_{2}$ appears in it infinitely often.

## GNBA - Example

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\text { Aut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \begin{array}{lll} 
& \Sigma=\{x, y\} & Q=\left\{q_{0}, q_{1}, q_{2}\right\} \\
& I=\left\{q_{0}\right\} & \mathcal{F}=\left\{\left\{q_{2}\right\}\right\} \\
& \rightarrow=\left\{\left(q_{0}, x, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, y, q_{2}\right),\right. \\
&
\end{array}
$$

Note: Here, a run is accepting iff $q_{2}$ appears in it infinitely often. $x^{\infty}$ has a run, namely $q_{0} q_{1}^{\infty}$, but not accepting.

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& \rightarrow=\left\{\left(q_{0}, x, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, y, q_{2}\right),\right. \\
&
\end{array}
$$

Note: Here, a run is accepting iff $q_{2}$ appears in it infinitely often. $x^{\infty}$ has a run, namely $q_{0} q_{1}^{\infty}$, but not accepting. $x y^{\infty}$ has an accepting run, namely $q_{0} q_{1} q_{2}^{\infty}$.

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&
\end{array}
$$

Note: Here, a run is accepting iff $q_{2}$ appears in it infinitely often.
$x^{\infty}$ has a run, namely $q_{0} q_{1}^{\infty}$, but not accepting.
$x y^{\infty}$ has an accepting run, namely $q_{0} q_{1} q_{2}^{\infty}$.
$x y^{2} x^{\infty}$ has a run, namely $q_{0} q_{1} q_{2} q_{1}^{\infty}$, but not accepting.

## GNBA - Example

$$
\begin{aligned}
& \Sigma=\{x, y\} \quad Q=\left\{q_{0}, q_{1}, q_{2}\right\} \\
& \text { Aut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \quad I=\left\{q_{0}\right\} \quad \mathcal{F}=\left\{\left\{q_{2}\right\}\right\} \\
& \rightarrow=\left\{\left(q_{0}, x, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, y, q_{2}\right),\right. \\
& \left.\left(q_{2}, y, q_{1}\right),\left(q_{2}, y, q_{2}\right)\right\} \\
& \rightarrow q_{0} \xrightarrow{\sim}
\end{aligned}
$$

Note: Here, a run is accepting iff $q_{2}$ appears in it infinitely often. $x^{\infty}$ has a run, namely $q_{0} q_{1}^{\infty}$, but not accepting. $x y^{\infty}$ has an accepting run, namely $q_{0} q_{1} q_{2}^{\infty}$.
$x y^{2} x^{\infty}$ has a run, namely $q_{0} q_{1} q_{2} q_{1}^{\infty}$, but not accepting.
$y^{\infty}$ has no run.

## GNBA - Example

$$
\text { Aut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \begin{array}{lll} 
& \Sigma=\{x, y\} & Q=\left\{q_{0}, q_{1}, q_{2}\right\} \\
& I=\left\{q_{0}\right\} & \mathcal{F}=\left\{\left\{q_{2}\right\}\right\} \\
& \rightarrow=\left\{\left(q_{0}, x, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, y, q_{2}\right),\right. \\
&
\end{array}
$$

Note: Here, a run is accepting iff $q_{2}$ appears in it infinitely often. $x^{\infty}$ has a run, namely $q_{0} q_{1}^{\infty}$, but not accepting. $x y^{\infty}$ has an accepting run, namely $q_{0} q_{1} q_{2}^{\infty}$.
$x y^{2} x^{\infty}$ has a run, namely $q_{0} q_{1} q_{2} q_{1}^{\infty}$, but not accepting.
$y^{\infty}$ has no run. $\quad x y x^{\infty}$ has no run.

## GNBA - Example

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&
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$x^{\infty}$ has a run, namely $q_{0} q_{1}^{\infty}$, but not accepting.
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$x y^{2} x^{\infty}$ has a run, namely $q_{0} q_{1} q_{2} q_{1}^{\infty}$, but not accepting.
$y^{\infty}$ has no run. $\quad x y x^{\infty}$ has no run.
How about $x\left(x y^{2}\right)^{\infty}$ ?

## GNBA - Example

$$
\text { Aut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \begin{array}{lll} 
& \Sigma=\{x, y\} & Q=\left\{q_{0}, q_{1}, q_{2}\right\} \\
& I=\left\{q_{0}\right\} & \mathcal{F}=\left\{\left\{q_{2}\right\}\right\} \\
& \rightarrow=\left\{\left(q_{0}, x, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, y, q_{2}\right),\right. \\
&
\end{array}
$$

Note: Here, a run is accepting iff $q_{2}$ appears in it infinitely often.
$x^{\infty}$ has a run, namely $q_{0} q_{1}^{\infty}$, but not accepting.
$x y^{\infty}$ has an accepting run, namely $q_{0} q_{1} q_{2}^{\infty}$.
$x y^{2} x^{\infty}$ has a run, namely $q_{0} q_{1} q_{2} q_{1}^{\infty}$, but not accepting.
$y^{\infty}$ has no run. $\quad x y x^{\infty}$ has no run.
How about $x\left(x y^{2}\right)^{\infty}$ ? It has an accepting run, namely $q_{0} q_{1}\left(q_{1} q_{2} q_{1}\right)^{\infty}$.

## GNBA - Example

$$
\text { Aut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \begin{array}{ll} 
& \Sigma=\{x, y\} \\
& I=\left\{q_{0}\right\}
\end{array} \quad \mathcal{F}=\left\{q_{0}, q_{1}, q_{2}\right\}
$$

Note: Here, a run is accepting iff $q_{2}$ appears in it infinitely often.
$x^{\infty}$ has a run, namely $q_{0} q_{1}^{\infty}$, but not accepting.
$x y^{\infty}$ has an accepting run, namely $q_{0} q_{1} q_{2}^{\infty}$.
$x y^{2} x^{\infty}$ has a run, namely $q_{0} q_{1} q_{2} q_{1}^{\infty}$, but not accepting.
$y^{\infty}$ has no run. $\quad x y x^{\infty}$ has no run.
How about $x\left(x y^{2}\right)^{\infty}$ ? It has an accepting run, namely $q_{0} q_{1}\left(q_{1} q_{2} q_{1}\right)^{\infty}$. Lang (Aut) contains $x y^{\infty}$ and $x\left(x y^{2}\right)^{\infty}$, but not $x^{\infty}, x y^{2} x^{\infty}, y^{\infty}, x y x^{\infty}$.

## GNBA - Example

$$
\begin{aligned}
& \Sigma=\{x, y\} \quad Q=\left\{q_{0}, q_{1}, q_{2}\right\} \\
& \text { Aut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \quad I=\left\{q_{0}\right\} \quad \mathcal{F}=\left\{\left\{q_{2}\right\}\right\} \\
& \rightarrow=\left\{\left(q_{0}, x, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, y, q_{2}\right),\right. \\
& \left.\left(q_{2}, y, q_{1}\right),\left(q_{2}, y, q_{2}\right)\right\}
\end{aligned}
$$

Note: Here, a run is accepting iff $q_{2}$ appears in it infinitely often.
$x^{\infty}$ has a run, namely $q_{0} q_{1}^{\infty}$, but not accepting.
$x y^{\infty}$ has an accepting run, namely $q_{0} q_{1} q_{2}^{\infty}$.
$x y^{2} x^{\infty}$ has a run, namely $q_{0} q_{1} q_{2} q_{1}^{\infty}$, but not accepting.
$y^{\infty}$ has no run. $\quad x y x^{\infty}$ has no run.
How about $x\left(x y^{2}\right)^{\infty}$ ? It has an accepting run, namely $q_{0} q_{1}\left(q_{1} q_{2} q_{1}\right)^{\infty}$. Lang (Aut) contains $x y^{\infty}$ and $x\left(x y^{2}\right)^{\infty}$, but not $x^{\infty}, x y^{2} x^{\infty}, y^{\infty}, x y x^{\infty}$.
$\operatorname{Lang}(\mathcal{A} u t)=\left\{x^{m_{1}} y^{n_{1}} x^{m_{2}} y^{n_{2}} \ldots x^{m_{p}} y^{n_{p}} x^{m_{p+1}} y^{\infty} \mid p \geq 0, m_{i}>0, n_{i}>1\right\} \cup$

$$
\left\{x^{m_{1}} y^{n_{1}} x^{m_{2}} y^{n_{2}} \ldots \mid m_{i}>0, n_{i}>1\right\}
$$

## Homework Exercise

Consider the following GNBA:

$$
\text { Hut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \begin{array}{ll}
\Sigma=\{x, y\} & Q=\left\{q_{0}, q_{1}, q_{2}\right\} \\
I=\left\{q_{0}\right\} & \mathcal{F}=\left\{\left\{q_{1}\right\},\left\{q_{2}\right\}\right\} \\
\rightarrow=\left\{\left(q_{0}, y, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, x, q_{2}\right),\right.
\end{array}
$$

Note: Here, a run is accepting iff both $q_{1}$ and $q_{2}$ appear in it infinitely often.

1. Which of the following words have runs, and which have accepting runs: $y x^{\infty}, y x y^{\infty}, x^{\infty},(y x)^{\infty}, y\left(x^{5} y^{3}\right)^{\infty}$ ?
2. Can you describe the language accepted by $\mathcal{A u t}$ ?

## Homework Exercise

Same questions as before, but for a slightly different GNBA - the only difference is shown in brown:

$$
\text { Aut }=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \text { where: } \quad \begin{array}{ll}
\Sigma=\{x, y\} & Q=\left\{q_{0}, q_{1}, q_{2}\right\} \\
I=\left\{q_{0}\right\} & \mathcal{F}=\left\{\left\{q_{1}, q_{2}\right\}\right\} \\
\rightarrow=\left\{\left(q_{0}, y, q_{1}\right),\left(q_{1}, x, q_{1}\right),\left(q_{1}, x, q_{2}\right),\right.
\end{array}
$$

Note: Here, a run is accepting iff either $q_{1}$ or $q_{2}$ appear in it infinitely often.

1. Which of the following words have runs, and which have accepting runs: $y x^{\infty}, y x y^{\infty}, x^{\infty},(y x)^{\infty}, y\left(x^{5} y^{3}\right)^{\infty}$ ?
2. Can you describe the language accepted by $\mathcal{A} u t$ ?

## Step 1: From LTL Formulas to <br> GNBAs

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Given an LTL formula $\psi$, we wish to construct a GNBA $\mathcal{A} u t_{\psi}$ that accepts precisely the atom-set traces of infinite sequences of states that satisfy $\psi$.

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The alphabet $\Sigma$ of $\mathcal{A} u t_{\psi}$ is $\mathcal{P}($ Atoms $)$, so that words over this alphabet are atom-set traces.

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We still need to define $Q, I, \rightarrow$ and $\mathcal{F}$.

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We still need to define $Q, I, \rightarrow$ and $\mathcal{F}$.
Main idea of the construction: We consider all possible "scenarios" that would make $\psi$ true or false on a presumptive infinite sequence starting in some state, by looking at what can happen with its subformulas.

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So, for all subformulas of $\psi$, we look at all the scenarios of them being true or false in a consistent (i.e., non-contradictory) manner.

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So, for all subformulas of $\psi$, we look at all the scenarios of them being true or false in a consistent (i.e., non-contradictory) manner.
We will often write $\bar{\varphi}$ instead of $\neg \varphi$ for any formula $\varphi$.

## Step 1: From LTL Formulas to GNBAs

Given an LTL formula $\psi$, we wish to construct a GNBA $\mathcal{A} u t_{\psi}$ that accepts precisely the atom-set traces of infinite sequences of states that satisfy $\psi$. $\mathcal{A} u t_{\psi}$ will have the form $(\Sigma, Q, I, \rightarrow, \mathcal{F})$.

The alphabet $\Sigma$ of $\mathcal{A} u t_{\psi}$ is $\mathcal{P}$ (Atoms), so that words over this alphabet are atom-set traces.

We still need to define $Q, I, \rightarrow$ and $\mathcal{F}$.

> Main idea of the construction: We consider all possible "scenarios" that would make $\psi$ true or false on a presumptive infinite sequence starting in some state, by looking at what can happen with its subformulas.

So, for all subformulas of $\psi$, we look at all the scenarios of them being true or false in a consistent (i.e., non-contradictory) manner.

We will often write $\bar{\varphi}$ instead of $\neg \varphi$ for any formula $\varphi$.
Important: We will identify (treat as if they are the same) $\overline{\bar{\varphi}}$ with $\varphi$ - this is OK thanks to the Double Negation property.

## Step 1: From LTL Formulas to GNBAs

By the subformulas of $\psi$, we mean all the formulas that appear as part of $\psi$.

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Examples:
An atom $a$ has only one subformula: $a$ itself.
$\square a$ has two subformulas: $a$ and $\square a$.
$\square \diamond a$ has three subformulas: $a, \diamond a$ and $\square \diamond a$.
$\square(\square a \vee b)$ has six subformulas $a, b, \square a, \square a, ~ \square a \vee b$ and $\square(\square a \vee b)$.

## Step 1: From LTL Formulas to GNBAs

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Examples:
An atom $a$ has only one subformula: a itself.
$\square a$ has two subformulas: $a$ and $\square a$.
$\square \diamond a$ has three subformulas: $a, \diamond a$ and $\square \diamond a$.
$\square(\overline{\square a} \vee b)$ has six subformulas $a, b, \square a, \overline{\square a}, \square a \vee b$ and $\square(\square a \vee b)$.

The above is a "definition by example". Can you define the set of subformulas of a formula rigorously?

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square$ a.

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Take $\psi$ to be $\square a$. For $\square a$ to be true:

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Take $\psi$ to be $\square a$. For $\square a$ to be true:

- we demand $a$ to be true


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square a$. For $\square a$ to be true:

- we demand $a$ to be true
- we also demand that $\square$ a will be true in the next state


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square a$. For $\square a$ to be true:

- we demand $a$ to be true
- we also demand that $\square$ a will be true in the next state

For $\square a$ to be false:

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square a$. For $\square a$ to be true:

- we demand $a$ to be true
- we also demand that $\square$ a will be true in the next state

For $\square a$ to be false:

- we either demand $a$ to be false


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square a$. For $\square a$ to be true:

- we demand $a$ to be true
- we also demand that $\square a$ will be true in the next state

For $\square a$ to be false:

- we either demand $a$ to be false
- or allow $a$ to be true, but demand that $\square a$ will be false in the next state


## Step 1: From LTL Formulas to GNBAs - Discussion

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For $\square a$ to be false:

- we either demand $a$ to be false
- or allow $a$ to be true, but demand that $\square a$ will be false in the next state

Thus, for the current state we have the following three possible scenarios:
$\{a, \square a\} \quad\{a, \overline{\square a}\} \quad\{\bar{a}, \bar{\square}\}$

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square a$. For $\square a$ to be true:

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- we either demand $a$ to be false
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Thus, for the current state we have the following three possible scenarios:
$\{a, \square a\} \quad\{a, \bar{\square}\} \quad\{\bar{a}, \bar{\square}\}$
And we'll also have some requirements on moving forward to the next state.

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square a$. For $\square a$ to be true:

- we demand $a$ to be true
- we also demand that $\square a$ will be true in the next state

For $\square a$ to be false:

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Thus, for the current state we have the following three possible scenarios:
$\{a, \square a\} \quad\{a, \bar{\square}\} \quad\{\bar{a}, \bar{\square}\}$
And we'll also have some requirements on moving forward to the next state.
Note that $\{\bar{a}, \square a\}$ is not a possible scenario: It would be self-contradictory!

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a.

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

- we either demand $a$ to be true


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

- we either demand a to be true
- or allow $a$ to be false, but demand that $\Delta$ a will be true in the next state


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

- we either demand a to be true
- or allow $a$ to be false, but demand that $\Delta$ a will be true in the next state For $\diamond a$ to be false:


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

- we either demand a to be true
- or allow a to be false, but demand that $\Delta$ a will be true in the next state For $\diamond a$ to be false:
- we demand $a$ to be false


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond a$. For $\diamond a$ to be true:

- we either demand $a$ to be true
- or allow $a$ to be false, but demand that $\forall$ a will be true in the next state For $\diamond a$ to be false:
- we demand $a$ to be false
- we also demand that $\diamond a$ will be false in the next state


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

- we either demand $a$ to be true
- or allow a to be false, but demand that $\diamond$ a will be true in the next state For $\diamond a$ to be false:
- we demand $a$ to be false
- we also demand that $\diamond$ a will be false in the next state

Thus, for the current state we have the following three possible scenarios:
$\{a, \diamond a\} \quad\{\bar{a}, \diamond a\} \quad\{\bar{a}, \bar{\diamond} a\}$

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

- we either demand $a$ to be true
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- we demand $a$ to be false
- we also demand that $\diamond$ a will be false in the next state

Thus, for the current state we have the following three possible scenarios:
$\{a, \diamond a\} \quad\{\bar{a}, \diamond a\} \quad\{\bar{a}, \bar{\diamond}\}$
And again, we'll have some requirements on moving forward to the next state.

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

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- we demand $a$ to be false
- we also demand that $\diamond$ a will be false in the next state

Thus, for the current state we have the following three possible scenarios:
$\{a, \diamond a\} \quad\{\bar{a}, \diamond a\} \quad\{\bar{a}, \bar{\diamond} a\}$
And again, we'll have some requirements on moving forward to the next state.
Note that $\{a, \bar{\diamond}\}$ is not a possible scenario: It would be self-contradictory.

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

- we either demand $a$ to be true
- or allow a to be false, but demand that $\Delta$ a will be true in the next state For $\diamond a$ to be false:
- we demand $a$ to be false
- we also demand that $\diamond$ a will be false in the next state

Thus, for the current state we have the following three possible scenarios: $\{a, \diamond a\} \quad\{\bar{a}, \diamond a\} \quad\{\bar{a}, \bar{\diamond} a\}$
And again, we'll have some requirements on moving forward to the next state.
Note that $\{a, \bar{\nabla} a\}$ is not a possible scenario: It would be self-contradictory. Homework Question: In which way is this similar to the discussion on the previous slide? Hint: $\diamond$ and $\square$ are dual to each other.

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\diamond$ a. For $\diamond$ a to be true:

- we either demand $a$ to be true
- or allow a to be false, but demand that $\diamond$ a will be true in the next state For $\diamond a$ to be false:
- we demand $a$ to be false
- we also demand that $\diamond$ a will be false in the next state

Thus, for the current state we have the following three possible scenarios: $\{a, \diamond a\} \quad\{\bar{a}, \diamond a\} \quad\{\bar{a}, \bar{\diamond} a\}$
And again, we'll have some requirements on moving forward to the next state.
Note that $\{a, \bar{\nabla} a\}$ is not a possible scenario: It would be self-contradictory. Homework Question: In which way is this similar to the discussion on the previous slide? Hint: $\diamond$ and $\square$ are dual to each other.

In summary: We compute all possible scenarios for the correct state, and also remember some unfinished business for the next state.

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square \diamond a$. For $\square \diamond a$ to be true:

- we demand $\diamond$ a to be true, hence:
- we either demand a to be true
- or allow a to be false, but demand that $\diamond$ a will be true in the next state
- and demand $\square \diamond$ a to be true in the next state


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square \diamond$ a. For $\square \diamond$ a to be true:

- we demand $\diamond$ a to be true, hence:
- we either demand a to be true
- or allow $a$ to be false, but demand that $\diamond$ a will be true in the next state
- and demand $\square \diamond$ a to be true in the next state

For $\square \diamond$ a to be false:

- we either demand $\diamond$ a to be false, which also means that $a$ is false
- or allow $\diamond$ a to be true (but demand that $\square \diamond$ a will be false in the next state), in which case:
- either $a$ is true
- or $a$ is false but $\diamond$ a will be true in the next state


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square \diamond a$. For $\square \diamond$ a to be true:

- we demand $\diamond a$ to be true, hence:
- we either demand $a$ to be true
- or allow $a$ to be false, but demand that $\diamond$ a will be true in the next state
- and demand $\square \diamond$ a to be true in the next state

For $\square \diamond a$ to be false:

- we either demand $\diamond$ a to be false, which also means that $a$ is false
- or allow $\diamond$ a to be true (but demand that $\square \diamond a$ will be false in the next state), in which case:
- either $a$ is true
- or $a$ is false but $\diamond$ a will be true in the next state

Thus, for the current state we have five possible scenarios:
$\{a, \diamond a, \square \diamond a\} \quad\{\bar{a}, \diamond a, \square \diamond a\} \quad\{\bar{a}, \overline{\diamond a}, \bar{\square} a\} \quad\{a, \diamond a, \overline{\square \diamond a}\}\{\bar{a}, \diamond a, \overline{\square \diamond a}\}$
And we'll also have some requirements on moving forward to the next state.

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square \diamond a$. For $\square \diamond a$ to be true:

- we demand $\diamond a$ to be true, hence:
- we either demand $a$ to be true
- or allow $a$ to be false, but demand that $\diamond$ a will be true in the next state
- and demand $\square \diamond$ a to be true in the next state

For $\square \diamond a$ to be false:

- we either demand $\diamond$ a to be false, which also means that $a$ is false
- or allow $\diamond$ a to be true (but demand that $\square \diamond a$ will be false in the next state), in which case:
- either $a$ is true
- or $a$ is false but $\diamond a$ will be true in the next state

Thus, for the current state we have five possible scenarios:
$\{a, \diamond a, \square \diamond a\} \quad\{\bar{a}, \diamond a, \square \diamond a\} \quad\{\bar{a}, \overline{\diamond a}, \bar{\square} a\} \quad\{a, \diamond a, \overline{\square \diamond a}\}\{\bar{a}, \diamond a, \overline{\square \diamond a}\}$
And we'll also have some requirements on moving forward to the next state.
Note that $\{\bar{a}, \overline{\diamond a}, \square \diamond a\}$ is not a possible scenario. Why? Also, can you identify and explain other impossible scenarios?

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square(\square a \vee b)$.

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square(\square a \vee b)$. For $\square(\bar{\square} \vee b)$ to be true:

- we demand $\bar{\square} \vee b$ to be true, hence:

1. we either demand $\overline{\square a}$ to be true, in which case:
1.1. we either demand $a$ to be false
1.2. or we allow it to be true, but demand that $\bar{\square}$ will be false in the next state
2. or allow $\overline{\square a}$ to be false, and demand $b$ to be true

- we also demand that $\square(\bar{\square} \vee b)$ will be true in the next state


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square(\square a \vee b)$. For $\square(\bar{\square} \vee b)$ to be true:

- we demand $\bar{\square} \vee b$ to be true, hence:

1. we either demand $\overline{\square a}$ to be true, in which case:
1.1. we either demand $a$ to be false
1.2. or we allow it to be true, but demand that $\bar{\square}$ will be false in the next state
2. or allow $\overline{\square a}$ to be false, and demand $b$ to be true

- we also demand that $\square(\bar{\square} \vee b)$ will be true in the next state And a similar analysis yields all possibilities for $\square(\square a \vee b)$ to be false.


## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square(\square a \vee b)$. For $\square(\square a \vee b)$ to be true:

- we demand $\overline{\square a} \vee b$ to be true, hence:

1. we either demand $\overline{\square a}$ to be true, in which case:
1.1. we either demand $a$ to be false
1.2. or we allow it to be true, but demand that $\bar{\square}$ will be false in the next state
2. or allow $\overline{\square a}$ to be false, and demand $b$ to be true

- we also demand that $\square(\bar{\square} \vee b)$ will be true in the next state And a similar analysis yields all possibilities for $\square(\square a \vee b)$ to be false.

Thus, for the current state we have the following possible scenarios:
1.1. $\{\bar{a}, b, \overline{\square a}, \square a \vee b, \square(\overline{\square a} \vee b)\}$
1.2. $\{a, b, \overline{\square a}, \overline{\square a} \vee b, \square(\bar{\square} \vee b)\}$ $\{\bar{a}, \bar{b}, \overline{\square a}, \overline{\square a} \vee b, \square(\overline{\square a} \vee b)\}$
2. $\{a, b, \square a, \overline{\square a} \vee b, \square(\bar{\square} \vee b)\}$
... together with those for $\square(\square a \vee b)$ to be false (not shown here).
And we'll also have some requirements on moving forward to the next state.

## Step 1: From LTL Formulas to GNBAs - Discussion

Take $\psi$ to be $\square(\square a \vee b)$. For $\square(\square a \vee b)$ to be true:

- we demand $\bar{\square} \vee b$ to be true, hence:

1. we either demand $\overline{\square a}$ to be true, in which case:
1.1. we either demand $a$ to be false
1.2. or we allow it to be true, but demand that $\bar{\square}$ will be false in the next state
2. or allow $\overline{\square a}$ to be false, and demand $b$ to be true

- we also demand that $\square(\bar{\square} \vee b)$ will be true in the next state And a similar analysis yields all possibilities for $\square(\square a \vee b)$ to be false.

Thus, for the current state we have the following possible scenarios:
1.1. $\{\bar{a}, b, \overline{\square a}, \bar{\square} \vee b, \square(\overline{\square a} \vee b)\} \quad\{\bar{a}, \bar{b}, \overline{\square a}, \overline{\square a} \vee b, \square(\overline{\square a} \vee b)\}$
1.2. $\{a, b, \overline{\square a}, \bar{\square} \vee b, \square(\bar{\square} \vee b)\} \quad\{a, \bar{b}, \overline{\square a}, \overline{\square a} \vee b, \square(\overline{\square a} \vee b)\}$
2. $\{a, b, \square a, \square a \vee b, \square(\square a \vee b)\}$
... together with those for $\square(\square a \vee b)$ to be false (not shown here).
And we'll also have some requirements on moving forward to the next state.
Note. These scenarios are complete, i.e., answer the truth question on all subformulas, and consistent, i.e., they do not have contradictions, e.g., containing both $\varphi$ and $\bar{\varphi}$, or containing $\square \varphi$ but not $\varphi$.

## Step 1: From LTL Formulas to GNBAs - Definition

$\mathcal{A u t}_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$
$\Sigma=\mathcal{P}($ Atoms $)$, so that words over this alphabet will be atom-set traces.

## Step 1: From LTL Formulas to GNBAs - Definition

$\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$
$\Sigma=\mathcal{P}$ (Atoms $)$, so that words over this alphabet will be atom-set traces.

We define $\mathrm{Cl}(\psi)$, the closure of $\psi$, to be the set of all subformulas of $\psi$ and their negations.

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$$
C l(a)=\{a, \bar{a}\}
$$

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$$
\begin{aligned}
& C l(a)=\{a, \bar{a}\} \\
& C l(\square a)=\{a, \bar{a}, \square a, \overline{\square a}\}
\end{aligned}
$$

## Step 1: From LTL Formulas to GNBAs - Definition

$\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$
$\Sigma=\mathcal{P}$ (Atoms $)$, so that words over this alphabet will be atom-set traces.

We define $\mathrm{CI}(\psi)$, the closure of $\psi$, to be the set of all subformulas of $\psi$ and their negations. For example:

$$
\begin{aligned}
& C l(a)=\{a, \bar{a}\} \\
& C l(\square a)=\{a, \bar{a}, \square a, \overline{\square a}\} \\
& C l(\square \diamond a)=\{a, \bar{a}, \diamond a, \overline{\diamond a}, \square \diamond a, \overline{\square \diamond a}\}
\end{aligned}
$$

## Step 1: From LTL Formulas to GNBAs - Definition

$\mathcal{A u t}_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$
$\Sigma=\mathcal{P}$ (Atoms), so that words over this alphabet will be atom-set traces.

We define $\mathrm{Cl}(\psi)$, the closure of $\psi$, to be the set of all subformulas of $\psi$ and their negations. For example:

$$
\begin{aligned}
& C l(a)=\{a, \bar{a}\} \\
& C l(\square a)=\{a, \bar{a}, \square a, \overline{\square a}\} \\
& C l(\square \diamond a)=\{a, \bar{a}, \diamond a, \overline{\diamond a}, \square \diamond a, \overline{\square \diamond a}\} \\
& C l(\square(\overline{\square a} \vee b))= \\
& \{a, \bar{a}, b, \bar{b}, \square a, \overline{\square a}, \overline{\square a} \vee b, \overline{\overline{\square a} \vee b}, \square(\overline{\square a} \vee b), \overline{\square(\overline{\square a} \vee b)}\}
\end{aligned}
$$

## Step 1: From LTL Formulas to GNBAs - Definition

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$\Sigma=\mathcal{P}$ (Atoms $)$, so that words over this alphabet will be atom-set traces.

We define $\mathrm{CI}(\psi)$, the closure of $\psi$, to be the set of all subformulas of $\psi$ and their negations. For example:

$$
\begin{aligned}
& C l(a)=\{a, \bar{a}\} \\
& C l(\square a)=\{a, \bar{a}, \square a, \overline{\square a}\} \\
& C l(\square \diamond a)=\{a, \bar{a}, \diamond a, \overline{\nabla a}, \square \diamond a, \overline{\square \diamond a}\} \\
& C l(\square(\overline{\square a} \vee b))= \\
& \{a, \bar{a}, b, \bar{b}, \square a, \overline{\square a}, \overline{\square a} \vee b, \overline{\overline{\square a} \vee b}, \square(\overline{\square a} \vee b), \overline{\square(\overline{\square a} \vee b)}\}
\end{aligned}
$$

$\overline{\overline{\square a}}$ is not shown in $C l(\square(\overline{\square a} \vee b))$, because it is the same as $\square a$.

## Step 1: From LTL Formulas to GNBAs - Definition

$\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \quad$ Recall: $Q$ is the set of states of $\mathcal{A} u t_{\psi}$.

## Step 1: From LTL Formulas to GNBAs - Definition

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We define $Q=$ the set of all sets $K \subseteq C I(\psi)$ that are elementary

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- elementary means: complete and propositionally / temporally consistent
- complete means: for all $\varphi \in C I(\psi)$, we have $\varphi \in K$ or $\bar{\varphi} \in K$
- propositionally consistent means: for all $\varphi, \varphi_{1}, \varphi_{2}$
- if $\varphi \in C I(\psi)$, then $\varphi \in K$ implies $\bar{\varphi} \notin K$
- if $\varphi_{1} \wedge \varphi_{2} \in C I(\psi)$, then $\varphi_{1} \wedge \varphi_{2} \in K$ iff $\varphi_{1} \in K$ and $\varphi_{2} \in K$
- if $\varphi_{1} \vee \varphi_{2} \in C I(\psi)$, then $\varphi_{1} \vee \varphi_{2} \in K$ iff $\varphi_{1} \in K$ or $\varphi_{2} \in K$
- if $\varphi_{1} \rightarrow \varphi_{2} \in C I(\psi)$, then $\varphi_{1} \rightarrow \varphi_{2} \in K$ iff $\left[\varphi_{1} \in K\right.$ implies $\left.\varphi_{2} \in K\right]$


## Step 1: From LTL Formulas to GNBAs - Definition

$\mathcal{A u t} \psi_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \quad$ Recall: $Q$ is the set of states of $\mathcal{A} u t_{\psi}$.
We define $Q=$ the set of all sets $K \subseteq C I(\psi)$ that are elementary where

- elementary means: complete and propositionally / temporally consistent
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- if $\varphi_{1} \rightarrow \varphi_{2} \in C I(\psi)$, then $\varphi_{1} \rightarrow \varphi_{2} \in K$ iff $\left[\varphi_{1} \in K\right.$ implies $\left.\varphi_{2} \in K\right]$ What do these conditions remind you of?


## Step 1: From LTL Formulas to GNBAs - Definition

$\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \quad$ Recall: $Q$ is the set of states of $\mathcal{A} u t_{\psi}$.
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- if $\varphi_{1} \rightarrow \varphi_{2} \in C I(\psi)$, then $\varphi_{1} \rightarrow \varphi_{2} \in K$ iff $\left[\varphi_{1} \in K\right.$ implies $\left.\varphi_{2} \in K\right]$ What do these conditions remind you of?
- temporally consistent means:
- if $\square \varphi \in C I(\psi)$, then $\square \varphi \in K$ implies $\varphi \in K$
- if $\diamond \varphi \in C I(\psi)$, then $\varphi \in K$ implies $\forall \varphi \in K$
- if $\varphi_{1} \mathrm{U} \varphi_{2} \in C I(\psi)$, then $\varphi_{2} \in K$ implies $\varphi_{1} \mathrm{U} \varphi_{2} \in K$
- if $\varphi_{1} \cup \varphi_{2} \in C I(\psi)$, then $\varphi_{1} \cup \varphi_{2} \in K$ and $\varphi_{2} \notin K$ implies $\varphi_{1} \in K$


## Step 1: From LTL Formulas to GNBAs - Definition

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We define $Q=$ the set of all sets $K \subseteq C I(\psi)$ that are elementary where

- elementary means: complete and propositionally / temporally consistent
- complete means: for all $\varphi \in C I(\psi)$, we have $\varphi \in K$ or $\bar{\varphi} \in K$
- propositionally consistent means: for all $\varphi, \varphi_{1}, \varphi_{2}$
- if $\varphi \in C I(\psi)$, then $\varphi \in K$ implies $\bar{\varphi} \neq K$
- if $\varphi_{1} \wedge \varphi_{2} \in C I(\psi)$, then $\varphi_{1} \wedge \varphi_{2} \in K$ iff $\varphi_{1} \in K$ and $\varphi_{2} \in K$
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- if $\varphi_{1} \rightarrow \varphi_{2} \in C I(\psi)$, then $\varphi_{1} \rightarrow \varphi_{2} \in K$ iff $\left[\varphi_{1} \in K\right.$ implies $\varphi_{2} \in K$ ] What do these conditions remind you of?
- temporally consistent means:
- if $\square \varphi \in C I(\psi)$, then $\square \varphi \in K$ implies $\varphi \in K$
- if $\Delta \varphi \in C I(\psi)$, then $\varphi \in K$ implies $\forall \varphi \in K$
- if $\varphi_{1} \cup \varphi_{2} \in C I(\psi)$, then $\varphi_{2} \in K$ implies $\varphi_{1} U \varphi_{2} \in K$
- if $\varphi_{1} \cup \varphi_{2} \in C l(\psi)$, then $\varphi_{1} \cup \varphi_{2} \in K$ and $\varphi_{2} \notin K$ implies $\varphi_{1} \in K$

In short: $Q$ consists of all the scenarios for the truth or falsehood of the subformulas of $\psi$ that are complete (do not let anything unsettled) and consistent (do not contain contradictions).

## Step 1: From LTL Formulas to GNBAs - Definition

$\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \quad$ Recall: $I$ is the set of initial states of $\mathcal{A} u t_{\psi}$.

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$\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \quad$ Recall: $I$ is the set of initial states of $\mathcal{A} u t_{\psi}$.

We define $I=$ the set of all sets $K$ in $Q$ that contain $\psi$.

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So / incorporates all those scenarios where $\psi$ is true.

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We define $I=$ the set of all sets $K$ in $Q$ that contain $\psi$.
So I incorporates all those scenarios where $\psi$ is true.
Intuition: The automaton will accept only the atom-set traces of sequences satisfying $\psi$, which therefore must start in scenarios where $\psi$ is true.

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$\mathcal{A u t}_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \quad$ Recall: $I$ is the set of initial states of $\mathcal{A} u t_{\psi}$.

We define $I=$ the set of all sets $K$ in $Q$ that contain $\psi$.
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Example, taking $\psi$ to be $\square \diamond$ a. $Q$ consists of the following five sets, and $/$ of only those that contain $\square \diamond a$ (the two ones shown in blue):
$\{a, \diamond a, \square \diamond a\} \quad\{\bar{a}, \diamond a, \square \diamond a\} \quad\{\bar{a}, \overline{\diamond a}, \overline{\square \diamond a}\} \quad\{a, \diamond a, \overline{\square \diamond a}\}\{\bar{a}, \diamond a, \overline{\square \diamond a}\}$

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In-class exercise: Please check this example against the definitions of $Q$ and $I$.

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- If $\Delta \varphi \in C l(\psi)$, then $\Delta \varphi \in K$ iff $\varphi \in K$ or $\Delta \varphi \in K^{\prime}$
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- $O \varphi$ true "now" means that $\varphi$ will be true "next"
- $\Delta \varphi$ true "now" means that either $\varphi$ is true "now" or $\Delta \varphi$ will be postponed to "next" (part of the "unfinished business")


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$\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \quad$ Recall: $\mathcal{F} \subseteq \mathcal{P}(Q)$ is $\mathcal{A} u t_{\psi}$ 's set of accepting sets. Also, recall that $\Sigma=\mathcal{P}$ (Atoms) and $Q \subseteq \mathcal{P}$ (Formulas).

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- So $\varphi$ should be fulfilled in a "now" from the future $K^{\prime \prime \prime} \ldots{ }^{\prime}$ after a finite chain of transitions $K \xrightarrow{A_{1}} K^{\prime} \xrightarrow{A_{2}} K^{\prime \prime} \rightarrow \ldots \rightarrow K^{\prime \prime} \ldots$ '.


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- Thus, infinitely often, if $\Delta \varphi$ is in the "now" then $\varphi$ is also in the "now".


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$\mathcal{A}^{\prime} t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F}) \quad$ Recall: $\mathcal{F} \subseteq \mathcal{P}(Q)$ is $\mathcal{A} u t_{\psi}$ 's set of accepting sets.
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And similarly for $\square$ and $U$ - they have their own long-term fulfillment goals.

## Running Example

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Note: $\Sigma$ is a set of sets of atoms; $Q, I$ and $\operatorname{Fulfill}(\diamond a)$ are sets of sets of formulas; $\mathcal{F}$ is a set of sets of sets of formulas.

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- There is no transition between $\{\bar{a}, \overline{\diamond a}\}$ and $\{\bar{a}, \diamond a\}$, since this condition fails for $K=\{\bar{a}, \overline{\diamond a}\}$ and $K^{\prime}=\{\bar{a}, \diamond a\}-$ indeed, $\diamond a \in K^{\prime}$ but $\diamond a \notin K$

Running Example


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All words of the form $A_{0} A_{1} A_{2} \ldots$ (with each $A_{i} \subseteq\{$ a\}) such that there exists $j \geq 0$ with $A_{i}=\{a\}$.
... and this is exactly the property we need from the atom-set trace of a sequence $\pi$ satisfying $\diamond a$.

## Step 1: From LTL Formulas to GNBAs - Correctness

Next, we will prove the following:

Correctness Theorem for Step 1. For any set of states $S$, infinite sequence of states $\pi$ and labeling functions $L: S \rightarrow \mathcal{P}$ (Atom)
$\pi \models L \psi$ iff $\mathcal{A u t}_{\psi}$ accepts the atom-set trace of $\pi$ through $L$.

## Step 1: From LTL Formulas to GNBAs - Correctness

Left-to-Right Implication of Correctness Theorem for Step 1. For any set of states $S$, infinite sequence of states $\pi$ and labeling functions $L: S \rightarrow \mathcal{P}$ (Atom):

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Proof idea. Assume $\pi \models \angle \psi$. Assume $\pi=s_{0} s_{1} s_{2} \ldots$ and let $A_{i}=L\left(s_{i}\right)$ for all $i \geq 0$. We must show that $\mathcal{A u t}_{\psi}$ accepts $A_{0} A_{1} A_{2} \ldots$, i.e., it has an accepting run for it.

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Left-to-Right Implication of Correctness Theorem for Step 1. For any set of states $S$, infinite sequence of states $\pi$ and labeling functions $L: S \rightarrow \mathcal{P}$ (Atom):

If $\pi \models \angle \psi$ then $\mathcal{A} u t_{\psi}$ accepts the atom-set trace of $\pi$ through $L$.
Proof idea. Assume $\pi \models L \psi$. Assume $\pi=s_{0} s_{1} s_{2} \ldots$ and let $A_{i}=L\left(s_{i}\right)$ for all $i \geq 0$. We must show that $\mathcal{A} u t_{\psi}$ accepts $A_{0} A_{1} A_{2} \ldots$, i.e., it has an accepting run for it. We take the run to be $K_{0} K_{1} K_{2} \ldots$ where $K_{i}=\left\{\varphi \in C l(\psi) \mid \pi^{i} \models_{L} \varphi\right\}$.
We can check that:
(1) $K_{0} K_{1} K_{2} \ldots$ is a run, meaning:

- $K_{i} \in Q$, i.e., $K_{i}$ is elementary - thanks to the properties of satisfaction
- $K_{0} \in I$, i.e., $\psi \in K_{0}$ - immediate, since $\pi \models_{L} \psi$.
- $K_{i} \xrightarrow{A_{i}} K_{i+1}$ - thanks to the properties of satisfaction, incl. the expansion laws
(2) $K_{0} K_{1} K_{2} \ldots$ is accepting, meaning that it visits infinitely often the sets in $\mathcal{F}$ also thanks to the properties of satisfaction. For example:
Given $\diamond \varphi \in C l(\psi)$, we must check that $\diamond \varphi \in K_{i}$, i.e., $\pi^{i} \models \iota \diamond \varphi$, implies $\varphi \in K_{i}$, i.e., $\pi^{i} \models_{L} \varphi$, for infinitely many $i$ 's.


## Step 1: From LTL Formulas to GNBAs - Correctness

Left-to-Right Implication of Correctness Theorem for Step 1. For any set of states $S$, infinite sequence of states $\pi$ and labeling functions $L: S \rightarrow \mathcal{P}$ (Atom):

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(2) $K_{0} K_{1} K_{2} \ldots$ is accepting, meaning that it visits infinitely often the sets in $\mathcal{F}$ also thanks to the properties of satisfaction. For example:
Given $\Delta \varphi \in C l(\psi)$, we must check that $\Delta \varphi \in K_{i}$, i.e., $\pi^{i} \models_{L} \diamond \varphi$, implies $\varphi \in K_{i}$, i.e., $\pi^{i} \models_{L} \varphi$, for infinitely many $i$ 's.
This is true because $\pi^{i} \models\llcorner\diamond \varphi$ implies that there exists $j \geq i$ such that $\pi^{j} \models \iota \diamond \varphi$ and $\pi^{j} \models_{\llcorner } \varphi$.


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This is true because $\pi^{i} \models\llcorner\diamond \varphi$ implies that there exists $j \geq i$ such that $\pi^{j} \models_{L} \diamond \varphi$ and $\pi^{j} \models_{L} \varphi . \quad$ TYU: Prove this last statement.


## Step 1: From LTL Formulas to GNBAs - Correctness

Right-to-Left Implication of Correctness Thm. for Step 1. For any $S, \pi$ and $L$ : If $\mathcal{A} u t_{\psi}$ accepts the atom-set trace of $\pi$ through $L$, then $\pi \models_{L} \psi$.

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Proof idea. Assume $\pi=s_{0} s_{1} s_{2} \ldots$ and let $A_{i}=L\left(s_{i}\right)$ for all $i \geq 0$. Assuming $\mathcal{A} u t_{\psi}$ has an accepting run $K_{0} K_{1} K_{2} \ldots$ for $A_{0} A_{1} A_{2} \ldots$, we must show that $\pi \models_{L} \psi$.

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We can show something more general. Remember that being an accepting run means the following:
(1) $K_{0} K_{1} K_{2} \ldots$ is a run, meaning:
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Our generalization involves:

- replacing our fixed formula $\psi$ with an arbitrary $\varphi \in K_{0}$
- renouncing the hypothesis (1.2) (of starting in an initial state)
- strengthening " $\varphi \in K_{0}$ implies $\pi \models\llcorner\varphi$ " to an "iff" statement, namely: $\left(^{*}\right)$ for all $\varphi \in C l(\psi)$, we have $\varphi \in K_{0}$ iff $\pi \mid=\llcorner\varphi$


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Right-to-Left Implication of Correctness Thm. for Step 1. For any $S, \pi$ and $L$ : If $\mathcal{A} u t_{\psi}$ accepts the atom-set trace of $\pi$ through $L$, then $\pi \models L \psi$.

Proof idea. Assume $\pi=s_{0} s_{1} s_{2} \ldots$ and let $A_{i}=L\left(s_{i}\right)$ for all $i \geq 0$. Assuming $\mathcal{A} u t_{\psi}$ has an accepting run $K_{0} K_{1} K_{2} \ldots$ for $A_{0} A_{1} A_{2} \ldots$, we must show that $\pi \models_{L} \psi$.

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So we prove (1.1), (1.3) and (2) imply (*).

## Step 1: From LTL Formulas to GNBAs - Correctness

Right-to-Left Implication of Correctness Thm. for Step 1. For any $S, \pi$ and $L$ : If $\mathcal{A} u t_{\psi}$ accepts the atom-set trace of $\pi$ through $L$, then $\pi \models L \psi$.
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We can show something more general. Remember that being an accepting run means the following:
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Our generalization involves:

- replacing our fixed formula $\psi$ with an arbitrary $\varphi \in K_{0}$
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- strengthening " $\varphi \in K_{0}$ implies $\pi \models\left\llcorner\varphi^{\prime \prime}\right.$ to an "iff" statement, namely: $\left(^{*}\right)$ for all $\varphi \in C l(\psi)$, we have $\varphi \in K_{0}$ iff $\pi=\llcorner\varphi$

So we prove (1.1), (1.3) and (2) imply (*). TYU: Why is this more general?

## Step 1: From LTL Formulas to GNBAs - Correctness

Assume $\pi=s_{0} s_{1} s_{2} \ldots$ and let $A_{i}=L\left(s_{i}\right)$ for all $i \geq 0$. Assume:
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Assume $\varphi$ is an atom $p$. We have a chain of equivalent statements:

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p \in K_{0}
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Assume $\varphi$ is an atom $p$. We have a chain of equivalent statements:

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iff (by the definition of $\mathcal{A} u t_{\psi}$ 's transition relation $\rightarrow$ )

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p \in A_{0}=L\left(s_{0}\right)
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This was an easy case.

## Step 1: From LTL Formulas to GNBAs - Correctness

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We must show: for all $\varphi \in C l(\psi)$, we have $\varphi \in K_{0}$ iff $\pi \models_{L} \varphi$.
The proof goes by induction on the structure of $\varphi$. Some representative cases:

Assume $\varphi$ has the form $\varphi_{1} \wedge \varphi_{2}$. We have a chain of equivalent statements:

$$
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$$
\varphi_{1} \wedge \varphi_{2} \in K_{0}
$$

iff (since $K_{0}$ is elementary, in particular propositionally consistent)

$$
\varphi_{1} \in K_{0} \text { and } \varphi_{2} \in K_{0}
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iff (since $K_{0}$ is elementary, in particular propositionally consistent)

$$
\varphi_{1} \in K_{0} \text { and } \varphi_{2} \in K_{0}
$$

iff (by the induction hypothesis)

$$
\pi \models \angle \varphi_{1} \text { and } \pi \models \angle \varphi_{2}
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\varphi_{1} \in K_{0} \text { and } \varphi_{2} \in K_{0}
$$

iff (by the induction hypothesis) TYU: OK to apply the induction hypothesis?

$$
\pi \models\left\llcorner\varphi _ { 1 } \text { and } \pi \models \left\llcorner\varphi_{2}\right.\right.
$$

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iff (by the induction hypothesis) TYU: OK to apply the induction hypothesis?

$$
\pi \models\left\llcorner\varphi_{1} \text { and } \pi \models \iota \varphi_{2}\right.
$$

iff (by the definition of the satisfaction relation)

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$$

iff (by the definition of the satisfaction relation)

$$
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$$

This case is entirely routine; and the same is true for all propositional connectives.

## Step 1: From LTL Formulas to GNBAs - Correctness

Assume $\pi=s_{0} s_{1} s_{2} \ldots$ and let $A_{i}=L\left(s_{i}\right)$ for all $i \geq 0$. Assume:
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We must show: for all $\varphi \in C l(\psi)$, we have $\varphi \in K_{0}$ iff $\pi \models_{L} \varphi$.
The proof goes by induction on the structure of $\varphi$. Some representative cases:

Assume $\varphi$ has the form $\neg \varphi_{1}$. We have a chain of equivalent statements:

$$
\neg \varphi_{1} \in K_{0}
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## Step 1: From LTL Formulas to GNBAs - Correctness

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We must show: for all $\varphi \in C l(\psi)$, we have $\varphi \in K_{0}$ iff $\pi \models_{L} \varphi$.
The proof goes by induction on the structure of $\varphi$. Some representative cases:

Assume $\varphi$ has the form $\neg \varphi_{1}$. We have a chain of equivalent statements:

$$
\neg \varphi_{1} \in K_{0}
$$

iff (since $K_{0}$ is elementary, in particular propositionally consistent and complete) $\varphi_{1} \notin K_{0}$

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The proof goes by induction on the structure of $\varphi$. Some representative cases:

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\neg \varphi_{1} \in K_{0}
$$

iff (since $K_{0}$ is elementary, in particular propositionally consistent and complete)

$$
\varphi_{1} \notin K_{0}
$$

iff (by the induction hypothesis)

$$
\pi \not \vDash_{L} \varphi_{1}
$$

## Step 1: From LTL Formulas to GNBAs - Correctness

Assume $\pi=s_{0} s_{1} s_{2} \ldots$ and let $A_{i}=L\left(s_{i}\right)$ for all $i \geq 0$. Assume:
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We must show: for all $\varphi \in C l(\psi)$, we have $\varphi \in K_{0}$ iff $\pi \models_{L} \varphi$.
The proof goes by induction on the structure of $\varphi$. Some representative cases:

Assume $\varphi$ has the form $\neg \varphi_{1}$. We have a chain of equivalent statements:

$$
\neg \varphi_{1} \in K_{0}
$$

iff (since $K_{0}$ is elementary, in particular propositionally consistent and complete)

$$
\varphi_{1} \notin K_{0}
$$

iff (by the induction hypothesis)

$$
\pi \not \models_{L} \varphi_{1}
$$

iff (by the definition of the satisfaction relation)

$$
\pi \not \models_{\llcorner } \neg \varphi_{1}
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\pi \not \vDash_{L} \varphi_{1}
$$

iff (by the definition of the satisfaction relation)

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$$

This case is also entirely routine; but only because the statement to be proved is strong enough! An "implies" instead of "iff" would not work.

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iff (by the definition of $\mathcal{A} u t_{\psi}$ 's transition relation $\rightarrow$ )

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## Step 1: From LTL Formulas to GNBAs - Correctness

Lemma: For all $\varphi$ such that $\forall \varphi \in C I(\psi)$, we have

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Case (1) means fulfilling the eventuality, whereas case (2) means postponing it to next time - remember the "unfinished business" situation.

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- If case (2) holds again, then either (1) $\varphi \in K_{2}$ or (2) $\left[\varphi \notin K_{2}\right.$ and $\diamond \varphi \in K_{3}$ ]


## Step 1: From LTL Formulas to GNBAs - Correctness

Lemma: For all $\varphi$ such that $\forall \varphi \in C I(\psi)$, we have

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Proof idea.
For the left-to-right direction, assume $\forall \varphi \in K_{0}$.
Since $K_{0} \xrightarrow{A_{0}} K_{1} \xrightarrow{A_{1}} K_{2} \rightarrow \ldots$, from the definition of $\rightarrow$ we have that:

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Case (1) means fulfilling the eventuality, whereas case (2) means postponing it to next time - remember the "unfinished business" situation.

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Moreover, $K_{0} K_{1} K_{2} \ldots$ is an accepting run in $\mathcal{A} u t_{\psi}$, which means that infinitely often for $j \geq 0, \Delta \varphi \in K_{j}$ implies $\varphi \in K_{j}$.

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We thus obtain $j$ such that $\varphi \in K_{j}$, as desired.

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At some point, case 1 must hold, since $j$ keeps decreasing. (Strictly speaking, this is an induction on $j$.)
So we obtain $\Delta \varphi \in K_{0}$, as desired.

## Homework Exercise

Assume $\pi=s_{0} s_{1} s_{2} \ldots$ and let $A_{i}=L\left(s_{i}\right)$ for all $i \geq 0$. Assume:
(1.1) $K_{i}$ is elementary; (1.3) $K_{i} \xrightarrow{A_{i}} K_{i+1}$;
(2) $K_{0} K_{1} K_{2} \ldots$ visits infinitely often the sets in $\mathcal{F}$.

We must show: for all $\varphi \in C l(\psi)$, we have $\varphi \in K_{0}$ iff $\pi \models_{L} \varphi$.
The proof goes by induction on the structure of $\varphi$.

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Do the proofs for the remaining cases:
Assume $\varphi$ has the form $\varphi_{1} \vee \varphi_{2} \ldots$ Routine
Assume $\varphi$ has the form $\varphi_{1} \rightarrow \varphi_{2} \ldots$ Routine
Assume $\varphi$ has the form $\square \varphi_{1} \ldots$ Interesting. You will need a lemma like for $\diamond$.
Assume $\varphi$ has the form $\varphi_{1} \cup \varphi_{2} \ldots$ Interesting. You will need a lemma like for $\diamond$.

## Summary and Outlook

For any formula $\psi$, we defined the GNBA $\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$.
We proved the following:
Correctness Theorem for Step 1. For any set of states $S$, infinite sequence of states $\pi$ and labeling functions $L: S \rightarrow \mathcal{P}$ (Atom)

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Finally, we will look into how to algorithmically decide satisfaction, once encoded - this is Step 3.

## Homework Exercise

Describe the automaton $\mathcal{A} u t_{\psi}$ in the following cases:

- Atoms $=\{a\}$ and $\psi=\square a$.
- Atoms $=\{a, b\}$ and $\psi=a \cup b$
- Atoms $=\{a, b\}$ and $\psi=\diamond(a \wedge b)$


## Step 2: Product GNBA

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So we have $\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$, where $\Sigma=\mathcal{P}($ Atoms $)$.

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## Running Example (Continued)

Consider the LTS $\mathcal{M}=(S, \rightarrow, L)$ shown in the picture on the left. Remember that, taking $\psi$ to be $\diamond a$, the GNBA $\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ has set of states $Q$ and transition relation $\rightarrow$ shown in the picture on the right. Also, $I=\{\{a, \diamond a\},\{\bar{a}, \diamond a\}\}$ and $\mathcal{F}=\{\{\{a, \diamond a\},\{\bar{a}, \overline{\diamond a}\}\}\}$.


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Remember that, taking $\psi$ to be $\diamond a$, the GNBA $\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ has set of states $Q$ and transition relation $\rightarrow$ shown in the picture on the right. Also, $I=\{\{a, \diamond a\},\{\bar{a}, \diamond a\}\}$ and $\mathcal{F}=\{\{\{a, \diamond a\},\{\bar{a}, \overline{\diamond a}\}\}\}$.


The product GNBA
$\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\psi}=\left(\Sigma, Q_{\times}, I_{\times}, \rightarrow_{\times}, \mathcal{F}_{\times}\right)$
has $Q_{\times}$and $\rightarrow_{\times}$shown on the right


## Running Example (Continued)

Consider the LTS $\mathcal{M}=(S, \rightarrow, L)$ shown in the picture on the left.
Remember that, taking $\psi$ to be $\diamond a$, the GNBA $\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ has set of states $Q$ and transition relation $\rightarrow$ shown in the picture on the right. Also, $I=\{\{a, \diamond a\},\{\bar{a}, \diamond a\}\}$ and $\mathcal{F}=\{\{\{a, \diamond a\},\{\bar{a}, \overline{\diamond a}\}\}\}$.


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$\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\psi}=\left(\Sigma, Q_{\times}, I_{\times}, \rightarrow_{\times}, \mathcal{F}_{\times}\right)$
has $Q_{\times}$and $\rightarrow_{\times}$shown on the right, and has $I_{\times}=\left\{\left(s_{0},\{\bar{a}, \Delta a\}\right)\right\}$ and $\mathcal{F}_{X}=\left\{\left\{\left(s_{1},\{a, \diamond a\}\right),\left(s_{0},\{\bar{a}, \overline{\diamond a}\}\right)\right\}\right\}$


## Running Example (Continued)

Consider the LTS $\mathcal{M}=(S, \rightarrow, L)$ shown in the picture on the left.
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## Running Example (Continued)

Consider the LTS $\mathcal{M}=(S, \rightarrow, L)$ shown in the picture on the left.
Remember that, taking $\psi$ to be $\diamond a$, the GNBA $\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ has set of states $Q$ and transition relation $\rightarrow$ shown in the picture on the right. Also, $I=\{\{a, \diamond a\},\{\bar{a}, \diamond a\}\}$ and $\mathcal{F}=\{\{\{a, \diamond a\},\{\bar{a}, \overline{\diamond a}\}\}\}$.


The product GNBA
$\left(\mathcal{M}, s_{0}\right) \times \mathcal{A}^{\prime} t_{\psi}=\left(\Sigma, Q_{\times}, I_{\times}, \rightarrow_{\times}, \mathcal{F}_{\times}\right)$
has $Q_{\times}$and $\rightarrow_{\times}$shown on the right, and has $I_{\times}=\left\{\left(s_{0},\{\bar{a}, \diamond a\}\right)\right\}$ and $\mathcal{F}_{X}=\left\{\left\{\left(s_{1},\{a, \diamond a\}\right),\left(s_{0},\{\bar{a}, \overline{\diamond a}\}\right)\right\}\right\}$ E.g., $Q_{\times}$does not contain $\left(s_{1},\{\bar{a}, \diamond a\}\right)$ since $L\left(s_{1}\right) \neq \emptyset=\{\bar{a}, \diamond a\} \cap$ Atoms


## Running Example (Continued)

Consider the LTS $\mathcal{M}=(S, \rightarrow, L)$ shown in the picture on the left.
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## The product GNBA

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## Step 2: Product GNBA - Correctness

Context: $\mathcal{M}=(S, \rightarrow, L)$ is an LTS, $s_{0} \in S$, and $\mathcal{A} u t_{\psi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ is the GNBA of an LTL formula $\psi$.

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Correctness Theorem for Step 2. Let $A_{0} A_{1} A_{2} \ldots$ be an infinite sequence of atom sets. Then

$$
\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\psi} \text { accepts } A_{0} A_{1} A_{2} \ldots
$$

iff
there exists $\pi \in \operatorname{Paths}_{s_{0}}(\mathcal{M})$ such that $A_{0} A_{1} A_{2} \ldots$ is the atom-set trace of $\pi$ through $L$ and $\mathcal{A u t} \psi_{\psi}$ accepts $A_{0} A_{1} A_{2} \ldots$

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This has a routine proof, applying the definition of the product automaton.

## Step 2: Product GNBA - Correctness

Proof. We have the following chain of equivalent statements:
$\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\psi}$ accepts $A_{0} A_{1} A_{2} \ldots$

## Step 2: Product GNBA - Correctness

Proof. We have the following chain of equivalent statements:
$\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\psi}$ accepts $A_{0} A_{1} A_{2} \ldots$
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There exists in $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\psi}$ an accepting run $\left(s_{0}, K_{0}\right)\left(s_{1}, K_{1}\right)\left(s_{2}, K_{2}\right) \ldots$ for $A_{0} A_{1} A_{2} \ldots$

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iff (by the definition of accepting runs and of $Q_{\times}, I_{\times}$and $\rightarrow_{\times}$)
There exist $s_{0} s_{1} s_{2} \ldots$ and $K_{0} K_{1} K_{2} \ldots$ such that: $K_{0} \in I$, for all $i \geq 0: A_{i}=L\left(s_{i}\right), s_{i} \rightarrow s_{i+1}$ and $K_{i} \xrightarrow{A_{i}} K_{i+1}$
and for all $G \in \mathcal{F}_{\times \times}$, we have $\left(s_{i}, K_{i}\right) \in G$ for infinitely many $i \geq 0$

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and for all $G \in \mathcal{F}_{\times \times}$, we have $\left(s_{i}, K_{i}\right) \in G$ for infinitely many $i \geq 0$
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iff (by the definition of accepting runs, of paths and of "atom-set trace of") There exist $\pi=s_{0} s_{1} s_{2} \ldots \in$ Paths $_{s_{0}}(\mathcal{M})$ and $K_{0} K_{1} K_{2} \ldots$ such that:
$A_{0} A_{1} A_{2} \ldots$ is the atom-set trace of $\pi$ through $L$ and $K_{0} K_{1} K_{2} \ldots$ is an accepting run (in $\mathcal{A} u t_{\psi}$ ) for $A_{0} A_{1} A_{2} \ldots$

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Corollary.
The language accepted by $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\psi}$ is empty iff
there exists no $\pi \in \operatorname{Path}_{s_{0}}(\mathcal{M})$ such that the atom-set trace of $\pi$ through $L$ is accepted by $\mathcal{A}^{\mu} t_{\psi}$.

## Overall Correctness Theorem

The product between an LTS with a state and the GNBA of the negation of a formula encodes the satisfaction relation

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Overall Correctness Theorem. For any LTS $\mathcal{M}=(S, \rightarrow, L)$, state $s_{0} \in S$ and formula $\varphi: \mathcal{M}, s_{0} \models \varphi$ iff the language accepted by $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}$ is empty.

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Proof. We have the following chain of equivalent statements:
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Proof. We have the following chain of equivalent statements:
The language accepted by $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}$ is empty iff (by the corollary of the Correctness Theorem for Step 2)
There is no $\pi \in \operatorname{Paths}_{s_{0}}(\mathcal{M})$ such that $\mathcal{A} u t_{\neg \varphi}$ accepts its atom-set trace through $L$

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For all $\pi \in \operatorname{Paths}_{s_{0}}(\mathcal{M})$, we have $\pi \models L \varphi$ iff (by the definition of satisfaction by LTSs)
$\mathcal{M}, s_{0}=\varphi$.

## Step 3: Deciding Emptiness for <br> GNBAs

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It is easy to see that the definitions of:

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are computable - you can write programs (in your favorite PL) that compute them.


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Hence, the Overall Correctness Theorem reduces the model checking problem for LTL, namely determining whether $\mathcal{M}, s_{0} \models \varphi$, to the problem of determining whether the language accepted by the GNBA $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}$ is empty.

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Our last piece in the puzzle:
Decidablity Theorem. Emptiness for GNBA is decidable,

## Step 3: Deciding Emptiness for GNBAs

It is easy to see that the definitions of:

- The GNBA $\mathcal{A u t}_{\psi}$ (given any formula $\psi$ ) and
- The GNBA $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}\left(\right.$ LTS $\mathcal{M}$, state $s_{0}$ and formula $\left.\varphi\right)$
are computable - you can write programs (in your favorite PL) that compute them.

Hence, the Overall Correctness Theorem reduces the model checking problem for LTL, namely determining whether $\mathcal{M}, s_{0} \models \varphi$, to the problem of determining whether the language accepted by the GNBA $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}$ is empty.

Our last piece in the puzzle:
Decidablity Theorem. Emptiness for GNBA is decidable, meaning: There is a program that takes as input a GNBA $\mathcal{A} u t$, always terminates, and returns

- 'Yes', if $\operatorname{Lang}(\mathcal{A} u t)=\emptyset$
- 'No', if $\operatorname{Lang}(\mathcal{A} u t) \neq \emptyset$


## Step 3: Deciding Emptiness for GNBAs

The Decidability Theorem will be proved with the help of a lemma.

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For any GNBA $\mathcal{A} u t=(\Sigma, Q, I, \rightarrow, \mathcal{F})$, we define its graph $\operatorname{Gr}(\mathcal{A} u t)=(Q, \rightarrow)$ to be the following directed graph:

- The nodes of $\operatorname{Gr}(\mathcal{A} u t)$ are the states $Q$
- Given $q_{1}, q_{2} \in Q$, there is an edge between $q_{1}$ and $q_{2}$, written $q_{1} \rightarrow q_{2}$, iff there exists a transition $q_{1} \xrightarrow{x} q_{2}$ for some $x \in \Sigma$.


## Parenthesis: Some Graph Concepts Recalled

Let $G=(Q, \rightarrow)$ be a directed graph, with nodes $Q$ and edges $\rightarrow \subseteq Q \times Q$.

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Let $G=(Q, \rightarrow)$ be a directed graph, with nodes $Q$ and edges $\rightarrow \subseteq Q \times Q$. A (finite) path is a finite sequence $q_{1} \ldots q_{n}$ where $q_{i} \rightarrow q_{i+1}$ for all $i \in\{1, \ldots, n-1\}$.

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$q_{1} q_{1}, q_{1} q_{2} q_{1}$ and $q_{2} q_{3} q_{1} q_{2}$ are cycles.

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## Step 3: Deciding Emptiness for GNBAs

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Example: Consider the GNFA $\mathcal{A} u t=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ where $\Sigma, Q, I$ and $\rightarrow$ are like in the picture, and $\mathcal{F}=\left\{\left\{q_{0}, q_{1}\right\},\left\{q_{0}, q_{2}\right\}\right\}$.


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## Step 3: Deciding Emptiness for GNBAs

Lemma. Let $\mathcal{A} u t=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ be a GNBA. Then the following are equivalent: (1) $\operatorname{Lang}(\mathcal{A} u t) \neq \emptyset$.

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Lemma. Let $\mathcal{A} u t=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ be a GNBA. Then the following are equivalent:
(1) $\operatorname{Lang}(\mathcal{A} u t) \neq \emptyset$.
(2) There exists an accepting lasso for $\mathcal{A} u t$.
(3) There exists a maximal non-trivial SCC $C$ of $\operatorname{Gr}(\mathcal{A} u t)$ such that:

- some state in $C$ is accessible from some state in $I$;
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Then $q_{0} \ldots q_{m}\left(q_{m+1} \ldots q_{m+n-1}\right)^{\infty}$ is an accepting run in $\mathcal{A} u t$ for the (infinite) word $x_{0} \ldots x_{m}\left(x_{m+1} \ldots x_{m+n-1}\right)^{\infty}$.

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So $x_{0} \ldots x_{m}\left(x_{m+1} \ldots x_{m+n-1}\right)^{\infty} \in \operatorname{Lang}(\mathcal{A} u t)$, hence $\operatorname{Lang}(\mathcal{A} u t) \neq \emptyset$, as desired.

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Let $k>j$ be the index of the next occurrence of $q$ in $q_{0} q_{1} q_{2} \ldots$ after index $j$. So we have $q_{i}=q_{k}=q$.

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If $C_{i}$ is accessible from a state in $I$ and for each $j \in\{1, \ldots, n\}, C_{i} \cap F_{j} \neq \emptyset$
then output "No, the accepted language is not empty."

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- $C$ contains states from each accepting set, i.e., $C \cap F \neq \emptyset$ for all $F \in \mathcal{F}$.

Decidablity Theorem. Emptiness for GNBA is decidable.
Proof. By the above "(1) iff (3)" part of the lemma, the following algorithm decides GNBA emptiness.

Input: A GNBA $\mathcal{A} u t=(\Sigma, Q, I, \rightarrow, \mathcal{F})$ where $\mathcal{F}=\left\{F_{1}, \ldots, F_{n}\right\}$.
Let $G=\operatorname{Gr}(\mathcal{A} u t)$.
Compute $G$ 's maximal non-trivial SCCs $\left\{C_{1}, \ldots, C_{m}\right\}$ (Tarjan's DFS algorithm)
For each $i \in\{1, \ldots, m\}$
If $C_{i}$ is accessible from a state in $I$ and for each $j \in\{1, \ldots, n\}, C_{i} \cap F_{j} \neq \emptyset$
then output "No, the accepted language is not empty."
Output "Yes, the accepted language is empty."

## Summary of the LTL Model Checking Algorithm

Input: An LTS $\mathcal{M}=(S, \rightarrow, L)$, a state $s_{0} \in S$, and an LTL formula $\varphi$.
Step 1: Compute the GNBA $\mathcal{A} u t=\mathcal{A} u t_{\neg \varphi}$.
Step 2: Compute the GNBA $\mathcal{A} u t^{\prime}=\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t$.
Step 3: Check whether $\operatorname{Lang}\left(\mathcal{A} u t^{\prime}\right)=\emptyset$.

- If True, then output "Yes, it is the case that $\mathcal{M}, s_{0} \models \varphi$."
- If False, then output "No, it is not the case that $\mathcal{M}, s_{0} \models \varphi$."


## Running Example (Completed)

Let $\varphi$ be $\neg \diamond$ a.

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Let $\varphi$ be $\neg \diamond a$. Then $\neg \varphi=\overline{\overline{\diamond a}}=\diamond a$. (Remember we identify $\overline{\bar{\varphi}}$ with $\varphi$.)

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\mathcal{M}=(S, \rightarrow, L)
$$



PROBLEM INSTANCE: Does $\mathcal{M}, s_{0} \models \varphi$ ?

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Let $\varphi$ be $\neg \diamond a$. Then $\neg \varphi=\overline{\overline{\diamond a}}=\diamond a$. (Remember we identify $\overline{\bar{\varphi}}$ with $\varphi$.)

$$
\mathcal{M}=(S, \rightarrow, L)
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PROBLEM INSTANCE: Does $\mathcal{M}, s_{0} \models \varphi$ ?

$$
\text { STEP 1: } \mathcal{A} u t_{\neg \varphi}=(\Sigma, Q, I, \rightarrow, \mathcal{F})
$$



$$
\begin{gathered}
I=\{\{a, \diamond a\},\{\bar{a}, \diamond a\}\} \\
\mathcal{F}=\{\{\{a, \diamond a\},\{\bar{a}, \widehat{\diamond a}\}\}\}
\end{gathered}
$$

Running Example (Completed)
$\operatorname{STEP} 2:\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{-\varphi}=\left(\Sigma, Q_{\times}, I_{\times}, \rightarrow_{\times}, \mathcal{F}_{\times}\right)$


$$
\begin{aligned}
& I_{\times}=\left\{\left(s_{0},\{\bar{a}, \diamond a\}\right)\right\} \\
& \mathcal{F}_{\times}=\left\{\left\{\left(s_{1},\{a, \diamond a\}\right),\left(s_{0},\{\bar{a}, \overline{\diamond a}\}\right)\right\}\right\}
\end{aligned}
$$

## Running Example (Completed)

STEP 2: $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\rightarrow \varphi}=\left(\Sigma, Q_{\times}, I_{\times}, \rightarrow_{x}, \mathcal{F}_{\times}\right)$


$$
\begin{aligned}
& I_{\times}=\left\{q_{2}\right\} \\
& \mathcal{F}_{\times}=\left\{\left\{q_{1}, q_{3}\right\}\right\}
\end{aligned}
$$

## Running Example (Completed)

STEP 2: $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A}^{\prime} t_{\neg \varphi}$
STEP 3: $\operatorname{Gr}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A}^{\boldsymbol{u}} t_{\neg \varphi}\right)$


$$
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& I_{\times}=\left\{q_{2}\right\} \\
& \mathcal{F}_{x}=\left\{\left\{q_{1}, q_{3}\right\}\right\}
\end{aligned}
$$

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$I_{x}=\left\{q_{2}\right\}$
Two maximal non-trivial SCCs: $\left\{q_{1}, q_{2}\right\}$ and $\left\{q_{3}\right\}$.

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$\left\{q_{1}, q_{2}\right\}$ intersects the only accepting set, $\left\{q_{1}, q_{3}\right\}$.

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$\left\{q_{1}, q_{2}\right\}$ intersects the only accepting set, $\left\{q_{1}, q_{3}\right\}$. Hence $\operatorname{Lang}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}\right) \neq \emptyset$.

## Running Example (Completed)

STEP 2: $\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}$
STEP 3: $\operatorname{Gr}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A}^{\boldsymbol{u}} t_{\neg \varphi}\right)$


$$
\begin{aligned}
& I_{X}=\left\{q_{2}\right\} \\
& \mathcal{F}_{x}=\left\{\left\{q_{1}, q_{3}\right\}\right\}
\end{aligned}
$$

Two maximal non-trivial SCCs: $\left\{q_{1}, q_{2}\right\}$ and $\left\{q_{3}\right\}$. $\left\{q_{1}, q_{2}\right\}$ is accessible from $q_{2} \in I_{\times}$.
$\left\{q_{1}, q_{2}\right\}$ intersects the only accepting set, $\left\{q_{1}, q_{3}\right\}$. Hence $\operatorname{Lang}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}\right) \neq \emptyset$.

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Let $\varphi$ be $\neg \diamond a$. Then $\neg \varphi=\overline{\overline{\diamond a}}=\diamond a$. (Remember we identify $\overline{\bar{\varphi}}$ with $\varphi$.)

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\mathcal{M}=(S, \rightarrow, L)
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PROBLEM INSTANCE: Does $\mathcal{M}, s_{0} \models \varphi$ ?

We conclude: No, it is not the case that $\mathcal{M}, s_{0} \models \varphi$.

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PROBLEM INSTANCE: Does $\mathcal{M}, s_{0} \models \varphi$ ?

We conclude: No, it is not the case that $\mathcal{M}, s_{0} \models \varphi$.

For example, $\left(s_{0} s_{1}\right)^{\infty} \models \diamond a$, hence $\left(s_{0} s_{1}\right)^{\infty} \not \vDash_{L} \neg \diamond$ a, i.e., $\left(s_{0} s_{1}\right)^{\infty} \not \vDash_{L} \varphi$.

## Counterexample Path

$$
\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}=\left(\Sigma, Q_{\times}, I_{\times}, \rightarrow_{\times}, \mathcal{F}_{\times}\right) \quad \operatorname{Gr}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}\right)
$$



$$
\begin{array}{ll}
I_{\times}=\left\{q_{2}\right\} & \text { Found }\left\{q_{1}, q_{2}\right\} \text { maximal non-trivial SCC. } \\
\mathcal{F}_{\times}=\left\{\left\{q_{1}, q_{3}\right\}\right\} & \left\{q_{1}, q_{2}\right\} \text { is accessible from } q_{2} \in I_{\times} . \\
& \left\{q_{1}, q_{2}\right\} \text { intersects the only accepting set, }\left\{q_{1}, q_{3}\right\} .
\end{array}
$$

$$
\text { Hence Lang }\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{-\varphi}\right) \neq \emptyset \text {. We conclude: } \mathcal{M}, s_{0} \not \models \varphi .
$$

## Counterexample Path

$$
\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}=\left(\Sigma, Q_{\times}, I_{\times}, \rightarrow_{\times}, \mathcal{F}_{\times}\right) \quad \operatorname{Gr}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}\right)
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$$

$$
\text { Hence } \operatorname{Lang}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}\right) \neq \emptyset . \quad \text { We conclude: } \mathcal{M}, s_{0} \not \vDash \varphi .
$$

Build a lasso: Start with a path from an initial state to our SCC: here, just $q_{2}$.

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$$
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Hence $\operatorname{Lang}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{-\varphi}\right) \neq \emptyset$. We conclude: $\mathcal{M}, s_{0} \not \vDash \varphi$.
Build a lasso: Start with a path from an initial state to our SCC: here, just $q_{2}$. Continue with a cycle that covers the entire SCC: $q_{2} q_{1} q_{2}$.

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$\left(\mathcal{M}, s_{0}\right) \times \mathcal{A}^{\mu} t_{\neg \varphi}=\left(\Sigma, Q_{\times}, I_{\times}, \rightarrow_{\times}, \mathcal{F}_{\times}\right) \quad \operatorname{Gr}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{\neg \varphi}\right)$


$$
\begin{array}{ll}
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\end{array}
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Hence $\operatorname{Lang}\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A} u t_{-\varphi}\right) \neq \emptyset$. We conclude: $\mathcal{M}, s_{0} \not \vDash \varphi$.
Build a lasso: Start with a path from an initial state to our SCC: here, just $q_{2}$.
Continue with a cycle that covers the entire SCC: $q_{2} q_{1} q_{2}$.
Take the LTS state component of the product states: $s_{0} s_{1} s_{0}$.

## Counterexample Path

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This gives us a counterexample path: $\left(s_{0} s_{1}\right)^{\infty}$.

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Hence Lang $\left(\left(\mathcal{M}, s_{0}\right) \times \mathcal{A u t} t_{\neg \varphi}\right) \neq \emptyset$. We conclude: $\mathcal{M}, s_{0} \not \vDash \varphi$.
Build a lasso: Start with a path from an initial state to our SCC: here, just $q_{2}$.
Continue with a cycle that covers the entire SCC: $q_{2} q_{1} q_{2}$.
Take the LTS state component of the product states: $s_{0} s_{1} s_{0}$.
This gives us a counterexample path: $\left(s_{0} s_{1}\right)^{\infty}$. Indeed, $\left(s_{0} s_{1}\right)^{\infty} \not \vDash_{L} \varphi$.

## Complexity

## Complexity of the LTL Model Checking Algorithm

Input: An LTS $\mathcal{M}=(S, \rightarrow, L)$, a state $s_{0} \in S$, and an LTL formula $\varphi$.
Step 1: Compute the GNBA $\mathcal{A} u t=\mathcal{A} u t_{\neg \varphi}$.

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Can be done in $2^{O(|\varphi|)}$ time and space.
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Can be done in $O\left(\left|\mathcal{A} u t^{\prime}\right|\right)$ time and space.

Overall complexity: $O\left(|\mathcal{M}| \times 2^{O(|\varphi|)}\right)$ time and space.

## Summary

## Summary of the Discussed Concepts

The model checking problem for LTL
GNBA $=$ Generalized Nondeterministic Büchi Automata
Language accepted by a GNBA
Translation of LTL formulas to GNBAs
Construction of product GNBAs
Deciding the emptiness for (the language accpted by) GNBAs
The three steps of the LTL model checking algorithm
Time and space complexity

## Possible Group Presentation Topic

In groups of three, implement the LTL model checking algorithm, where each member of the group takes care of one of the three steps.

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Feel free to discuss on the COM4507/6507 forum your choice of programming language, libraries, data structures, etc.

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Note: This task would also be a good preparation for the exam!

## Further Reading

Section 5.2 of Baier \& Katoen's "Principles of Model Checking" (MIT Press 2008)

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Moshe Vardi. An automata-theoretic approach to linear temporal logic. 1996.

Moshe Vardi. Automata-Theoretic Model Checking Revisited. 2007.

