Inductive and Coinductive Reasoning with Isabelle/HOL – Introduction

Andrei Popescu

University of Sheffield

VeTSS Summer School 2023, held at the University of Surrey

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Motivation

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$$f x = \begin{cases} zeros & \text{if } x \le 1 \\ f(x/2) & \text{if } x > 1 \text{ and } x \text{ even} \\ x \# f(3 * x + 1) & \text{if } x > 1 \text{ and } x \text{ odd} \end{cases}$$

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Obviously mathematicians want definitions that are rigorous, correct, meaningful and readable.

Definitional mechanisms are central to proof assistants.

Good definitions are the key to productive proof developments.

Proof assistants



Software systems that "assist" at

- formalizing mathematics
- verifying software and hardware systems

Prominent examples:

- formally proved Kepler's conjecture, Four Color theorem, Gödel's Incompleteness theorems, Odd Order theorem
- verified OS kernel (seL4), C compiler (CompCert), ML compiler (CakeML), web browser (Quark)

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E.g., if we want unordered trees, the proof assistant should not force us to encode them as ordered trees.

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(which involves a fancy combination of recursion and corecursion), but not getting suitable rules for reasoning about f.

Proof assistants strive to achieve definition and proof expressiveness and automation, so that their users are productive.

But the users should not be so "productive" that they prove False. :-)

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E.g., is the above scheme for combining recursion with corecursion sound? How can we be sure?

If a proof assistant based on a total-function logic allows a definition like

"Define $f: \mathbb{N} \to \mathbb{N}$ by f x = 1 + f x"

... then False is immediately derivable, so everything becomes provable.

Proof assistants try to prevent such situations via

- 1. syntactic checks, e.g., force definitions to be "guarded" or "positive"
 - error-prone (trivial bugs can introduce inconsistencies)

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Proof assistants try to prevent such situations via

- 1. syntactic checks, e.g., force definitions to be "guarded" or "positive"
 - error-prone (trivial bugs can introduce inconsistencies)
 - too rigid (can reject many obviously valid definitions)
- semantic reductions: make sense of the recursive and inductive definitions in terms of more basic (non-recursive) primitives. A non-recursive definition, no matter how complex, is obviously consistent!

In practice, each proof assistant provides a combination of these two, in various proportions.

Overview

In this tutorial...

I'll teach a foundation of (co)induction and (co)recursion following the semantic approach

- favored by HOL-based proof assistants such as HOL4, HOL Light and Isabelle/HOL
- developed substantially in Isabelle/HOL in recent years

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I'll use examples and exercises that can be proved in $\ensuremath{\mathsf{Isabelle}}\xspace/\ensuremath{\mathsf{HOL}}\xspace.$

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I'll use examples and exercises that can be proved in Isabelle/HOL. The foundation itself is independent from proof assistant technology, and I'll present it independently.

Some Conventions and Notations

Functions

Given two sets A and B, $A \rightarrow B$ denotes the set of functions from A to B. So that, for example, $f : A \rightarrow B$ is the same as $f \in A \rightarrow B$.

For multiple-argument functions, we prefer the curried forms, e.g., $f: A \rightarrow B \rightarrow$ Bool, to the uncurried forms, e.g., $f: A \times B \rightarrow$ Bool.

We'll sometimes use lambda notation. E.g., a numeric function in $\mathbb{N} \to \mathbb{N}$ that adds 5 can be written as $\lambda x. x + 5$.

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We write $\lambda a, b \dots$ for $\lambda a, \lambda b \dots$ E.g., the function in $\mathbb{N} \to \mathbb{N} \to \mathbb{N}$ that adds two numbers can be written as $\lambda x, y, x + y$.

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We identify such properties with functions to Bool. E.g., given $P: A \rightarrow \text{Bool}$ and $a \in A$, we say "*P* a holds", or simply "*P* a", to mean that $P a = \top$. Bool = $\{T, \bot\}$ is the set of Boolean values: T means "true", \bot means "false".

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And similarly for multiple-argument predicates, a.k.a. relations. E.g., given $P: A \rightarrow B \rightarrow C \rightarrow Bool$, $a \in A$, $b \in B$ and $c \in C$, we say "*P a b c* holds", or simply "*P a b c*", to mean that *P a b c* = \top .